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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

068508.0102

Total  
Pages53, &  
1 Check

First Named Inventor or Application Identifier

WU, GUANGDIAN GORDON

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.

EL477922143US

ADDRESS TO:

 ASSISTANT COMMISSIONER FOR PATENTS  
 BOX PATENT APPLICATION  
 WASHINGTON, D.C. 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [ 36 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention (cover page)
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets [ 8 ]  
Total Pages [ 44 ]
4. ☒ Oath or Declaration
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above  
copies
8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement
  - ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Small Entity Statement(s)
  - ☐ Statement filed in prior application.  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other:
  - A. CERTIFICATE OF MAILING VIA EXPRESS MAIL;
  - B. REQUEST FOR NONPUBLICATION (PTO/SB/35);
  - C. CHECK #732919 IN THE AMOUNT OF \$723.00 FOR  
FILING FEE

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME	RONALD L. CHICHESTER (REG. NO. 36,765)				
	BAKER BOTTS L.L.P. (PTO CUSTOMER NO. 023640)				
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COUNTRY	USA	TELEPHONE	713.229.1341	FAX	713.229.7741

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

PURSUANT TO 37 C.F.R. § 1.10, I HEREBY CERTIFY THAT THIS NON-PROVISIONAL PATENT APPLICATION IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL POST OFFICE TO ADDRESSEE, IN AN ENVELOPE ADDRESSED TO:

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BOX PATENT APPLICATION

WASHINGTON, D.C., 20231

ON MARCH 30, 2001.



RONALD L. CHICHESTER (REG. NO. 36,765)

MARCH 30, 2001

DATE

EL477922143US

EXPRESS MAIL LABEL

INCLUDED IN THIS PATENT APPLICATION MAILING ARE:

PATENT APPLICATION TRANSMITTAL;

36 PAGES OF SPECIFICATION DOCUMENTS;

8 PAGES OF DRAWINGS;

FEE TRANSMITTAL, WITH DUPLICATE COPY FOR FEE PROCESSING;

CHECK #732919 IN THE AMOUNT OF \$723.00 FOR FILING FEE AND EXTRA CLAIMS;

EXECUTED DECLARATION;

POWER OF ATTORNEY;

STATEMENT ASSERTING SMALL ENTITY STATUS;

NON-PUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i);

RETURN POSTCARD TO ACKNOWLEDGE RECEIPT OF ABOVE ITEMS.

FILING DATE: MARCH 30, 2001

INVENTOR: WU, GUANGDIAN GORDON

ATTY. DOCKET NO.: 068508.0102

TITLE: "METHOD AND APPARATUS FOR INDIVIDUAL-CENTRIC USE OF THE INTERNET"

**FEE TRANSMITTAL**NOTE: Effective October 1, 1997  
Patent fees are subject to annual revision

Complete if Known

Application Number

Filing Date

**MARCH 30, 2001**

First Named Inventor

**Wu, Guangdian Gordon**

Group Art Unit

Examiner Name

TOTAL AMOUNT OF PAYMENT

**\$723.00**Attorney Docket  
Number**068508.0102****METHOD OF PAYMENT (check one)****FEE CALCULATION (continued)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: **02-0383**Deposit Account Name: **Baker Botts L.L.P.**

- [ ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 [ ] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:

☒ Check [ ] Money Order [ ] Other**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$ 355.00
106	310	206	155	Design filing fee	\$
107	480	207	240	Plant filing fee	\$
108	760	208	380	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$

**SUBTOTAL (1) \$ 355.00****2. CLAIMS**

Total Claims	[52] - 20	=	[32] x [9.]	=	Fee Paid
Independent Claims	[5] - 3	=	[2] x [40.]	=	\$ 80.00
Multiple Dependent Claims		=		=	\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	39	Independent claims in excess of 3
104	270	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$368.00****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For filing a request for reexam	\$
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$
115	110	215	55	Extension for reply within 1st month	\$
116	380	216	190	Extension for reply within 2nd month	\$
117	870	217	435	Extension to reply within 3rd month	\$
118	1,360	218	680	Extension to reply within 4th month	\$
128	1,850	228	925	Extension to reply within 5th month	\$
119	300	219	150	Notice of Appeal	\$
120	300	220	150	Filing a brief in support of an appeal	\$
121	260	221	130	Request for oral hearing	\$
138	1,510	138	1,510	Petition to institute a public use proceeding	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,210	241	605	Petition to revive - unintentional	\$
142	1,210	242	605	Utility issue fee (or reissue)	\$
143	430	243	215	Design issue fee	\$
144	580	244	290	Plant issue fee	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional apps.	\$
126	180	126	180	Submission of Information Dis. Stmt.	\$
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	\$
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	\$

Other fee (specify) \_\_\_\_\_ \$

Other fee (specify) \_\_\_\_\_ \$

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$****NOTE: CHECK #732919 IN THE AMOUNT OF \$723.00 FOR FILING FEE AND ADDITIONAL CLAIMS AT SMALL ENTITY RATE**

Complete (if applicable)

Typed or Printed Name

**RONALD L. CHICHESTER**

Registration Number

**36,765**

Signature

*Ronald L. Chichester*

Date

**03.30.01**

Deposit Account User ID

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Wu, Guangdian Gordon
Title	"Method and Apparatus for Individual-Centric Use of the Internet"
Atty Docket Number	068508-0102

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 30, 2001

Date



Signature

Ronald L. Chichester

Reg. No.  
36,765

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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